

The Fundamental Constructs of Homogeneous Generative Meta-Programming *or Funcons for HGMP*

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17 January, 2018

Modelling Homogeneous Generative Meta-Programming*

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HGMP: programs manipulate meta-representations of program fragments as data and choose when and where to evaluate

- Formalisation of HGMP through the λ -calculus
- A HGMPification 'recipe' applicable to formal specifications

Reusable Components of Semantic Specifications

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- Identifies fundamental constructs in programming (paradigm-agnostic)
- Each funcon is formally defined via MSOS (Mosses, Plotkin)
- An open-ended library of (fixed) funcons makes FUNCONS
- Object language programs are translated to FUNCONS

Research Questions

Can we apply HGMPification to FUNCONS?

Does this simplify giving a (component-based) semantics for languages with meta-programming facilities?

Section 1

HGMP

λ -calculus with HGMP

- λ programs generate (abstract syntax rep.) of λ fragments
- The generated fragments may be inserted into the program

Running Example

```

letct gen =  $\lambda n.$ if  $n \leq 0$  then  $\uparrow\{0\}$ 
                    else  $\uparrow\{x + \downarrow\{\mathbf{this} (n - 1)\}\}$ 
in letct product =  $\lambda n.$   $\uparrow\{\lambda x. \downarrow\{gen\ n\}\}$ 
    in  $\downarrow\{product\ 3\}$  8
  
```

compiles to $(\lambda x.x + x + x + 0)$ 8 and evaluates to 24

Syntax

$$M ::= x \mid M N \mid \lambda x.M \mid c \mid M + N \mid \dots$$

Dynamic Semantics

$$\frac{M \Downarrow_{\lambda} \lambda x.M' \quad N \Downarrow_{\lambda} N' \quad M'[N'/x] \Downarrow_{\lambda} V}{MN \Downarrow_{\lambda} V}$$

$$\frac{M \Downarrow_{\lambda} I_1 \quad N \Downarrow_{\lambda} I_2}{M + N \Downarrow_{\lambda} I_1 +_{\mathbb{Z}} I_2}$$

...

Syntax

$$M ::= x \mid M N \mid \lambda x.M \mid c \mid M + N \mid \dots$$

Static Semantics

$$\frac{M \Downarrow_{\text{ct}} M' \quad N \Downarrow_{\text{ct}} N'}{MN \Downarrow_{\text{ct}} M'N'}$$

$$\frac{M \Downarrow_{\text{ct}} M'}{\lambda x.M \Downarrow_{\text{ct}} \lambda x.M'}$$

...

Abstract syntax trees: syntax

$t ::= var \mid app \mid lam \mid int \mid string \mid add \mid \dots$

$M ::= \dots \mid ast_t(M_1 \dots M_k) \textbf{ where } k = arity(t)$

Abstract syntax trees: semantics

$$\frac{M_1 \Downarrow_{\lambda} M'_1 \quad \dots \quad M_k \Downarrow_{\lambda} M'_k}{ast_t(M_1 \dots M_k) \Downarrow_{\lambda} ast_t(M'_1 \dots M'_k)}$$

Abstract syntax trees: syntax

$t ::= \text{var} \mid \text{app} \mid \text{lam} \mid \text{int} \mid \text{string} \mid \text{add} \mid \dots$

$M ::= \dots \mid \text{ast}_t(M_1 \dots M_k) \textbf{ where } k = \text{arity}(t)$

Abstract syntax trees: semantics

$$\frac{M_1 \Downarrow_{\text{ct}} M'_1 \quad \dots \quad M_k \Downarrow_{\text{ct}} M'_k}{\text{ast}_t(M_1 \dots M_k) \Downarrow_{\text{ct}} \text{ast}_t(M'_1 \dots M'_k)}$$

Examples

$ast_{app}(ast_{lam}("x", ast_{var}("x")), ast_{int}(3))$

is a value

$ast_{add}(ast_{var}("x"), (\lambda x. ast_{int}(x)) 2)$

evaluates to $ast_{add}(ast_{var}("x"), ast_{int}(2))$

downML $\downarrow\{\dots\}$ (splicing)

$\downarrow\{ast_{app}(ast_{lam}("x", ast_{var}("x")), ast_{int}(3))\}$

compiles to $(\lambda x.x) 3$ and evaluates to 3

downML syntax

$$M ::= \dots \mid \downarrow\{M\}$$

downML semantics

$$\frac{M \downarrow_{ct} M' \quad M' \downarrow_{\lambda} A \quad A \downarrow_{dl} N}{\downarrow\{M\} \downarrow_{ct} N}$$

$$\frac{M \downarrow_{dl} M' \quad N \downarrow_{dl} N'}{ast_{app}(M, N) \downarrow_{dl} M' N'}$$

$$\frac{M \downarrow_{dl} "x" \quad N \downarrow_{dl} N'}{ast_{lam}(M, N) \downarrow_{dl} \lambda x. N'}$$

...

To write *meaningful* programs *easily* we need:

- A way to bind names to λ terms at compile time
- Backquoting / quasi-quoting, for conveniently writing ASTs
- Recursion
- Conditional choice
- More operators

Extension syntax

$$M ::= \dots \mid \mathbf{let}_{ct} x = M \mathbf{in} N \mid \uparrow\{M\}$$

$$\mid \mathbf{this} \mid \mathbf{if} M \mathbf{then} N \mathbf{else} N' \mid M \leq N \mid M - N \mid \dots$$

upML semantics

$$\frac{M \Downarrow_{ul} M'}{\uparrow\{M\} \Downarrow_{ct} M'}$$

$$\frac{M \Downarrow_{ul} M' \quad N \Downarrow_{ul} N'}{MN \Downarrow_{ul} ast_{app}(M', N')}$$

$$\frac{M \Downarrow_{ct} M'}{\downarrow\{M\} \Downarrow_{ul} M'}$$

...

Example

```
letct gen = λn. if n ≤ 0 then ↑{0}
                    else ↑{x + ↓{this (n - 1)}}
in letct product = λn. ↑{λx. ↓{gen n}}
   in ↓{product 3} 8
```

compiles to $(\lambda x. x + x + x + 0) 8$ and evaluates to 24

Halfway compilation:

```
↓{product 3} 8
with product = λn. astlam (aststring ("x"), gen n)
and gen = λn. if n ≤ 0 then astint (0)
                    else astadd (astvar ("x"), this (n - 1))
```


Run-time HGMP

```

let gen =  $\lambda n.$ if  $n \leq 0$  then  $\uparrow\{0\}$ 
                    else  $\uparrow\{x + \downarrow\{\mathbf{this} (n - 1)\}\}$ 
in let product =  $\lambda n.$   $\uparrow\{\lambda x. \downarrow\{gen\ n\}\}$ 
    in (eval (product 3)) 8
  
```

After compilation

```

let gen =  $\lambda n.$ if  $n \leq 0$  then  $ast_{int}(0)$ 
                    else  $ast_{add}(ast_{var}("x"), \mathbf{this} (n - 1))$ 
in let product =  $\lambda n.$  $ast_{lam}(ast_{string}("x"), gen\ n)$ 
    in (eval (product 3)) 8
  
```

Eval syntax

$$M ::= \dots \mid \mathbf{eval} (M)$$

$$t ::= \mathbf{eval}$$

Eval semantics

$$\frac{M \Downarrow_{\lambda} A \quad A \Downarrow_{dl} N \quad N \Downarrow_{\lambda} V}{\mathbf{eval}(M) \Downarrow_{\lambda} V}$$

$$\frac{A \Downarrow_{dl} M}{\mathbf{ast}_{\mathbf{eval}}(A) \Downarrow_{dl} \mathbf{eval}(M)}$$

$$\frac{M \Downarrow_{ct} N}{\mathbf{eval}(M) \Downarrow_{ct} \mathbf{eval}(N)}$$

$$\frac{M \Downarrow_{ul} A}{\mathbf{eval}(M) \Downarrow_{ul} \mathbf{ast}_{\mathbf{eval}}(A)}$$

Section 2

Funcons

- The PLANCOMPS project has identified over a hundred funcons:
 - Procedural: procedures, references, scoping, iteration
 - Functional: functions, bindings, datatypes, patterns
 - Abnormal control: exceptions, delimited continuations
- A beta-version is to be published: `plancomps.org`
- A semantics is obtained by translation to FUNCONS

$$fct[\text{if } G \text{ then } M \text{ else } N] = \text{if-then-else}(fct[G], fct[M], fct[N])$$
$$fct[M + N] = \text{integer-add}(fct[M], fct[N])$$
$$fct[M \leq N] = \text{is-less-or-equal}(fct[M], fct[N])$$
$$\dots = \dots$$

- Potential benefits of FUNCONS:
 - Development and maintenance of formal specifications
 - Teach and compare programming constructs across paradigms

FUNCONS

A FUNCONS program (funcon term) is either:

- A value, e.g. **true**, 1, {1, 2, 3}, **abs**(...), {"x" \mapsto **abs**(...)}
- A computation: a funcon-name applied to funcon terms, e.g.

```
seq (assign (bound ("x")  
            , integer-add (assigned (bound ("x")), 1))  
     , print (assigned (bound ("x"))))
```

Funcon terms are freely composed:

- Many funcons are *variadic*
- But composition must satisfy funcon signatures

- The semantics of a Funcon is defined via small-step MSOS.
- MSOS rules are modular wrt *auxiliary entities*, modelling context and effects, e.g. *environment*, *store*, *output*, *control*, etc.

assigned (**bound** ("x")) \rightarrow **assigned** (**variable** (#1)) \rightarrow 7

under any **environment** binding "x" to **variable** (#1)

for any **store** with value 7 at location #1.

$$fct[\text{let } x = M \text{ in } N] = \text{scope}(\text{bind}(x, fct[M]), fct[N])$$

funcon	informal semantics
bind (X, Y)	yield the environment binding identifier \bar{X} to \bar{Y}
scope (X, Y)	evaluate Y extending the current environment with the bindings in environment \bar{X}

$$fct\llbracket M N \rrbracket = \mathbf{apply}(fct\llbracket M \rrbracket, fct\llbracket N \rrbracket) \quad (\text{v1})$$

(v2)

(v3)

funcon	informal semantics
given	yield the current given-value
give (X, Y)	evaluate Y with given-value \overline{X}
abs (X)	a value constructor wrapping a computation X
apply (X, Y)	unwrap abstraction \overline{X} and give \overline{Y} to it

$$fct\llbracket M N \rrbracket = \mathbf{apply}(fct\llbracket M \rrbracket, fct\llbracket N \rrbracket) \quad (\text{v1})$$

$$fct\llbracket M N \rrbracket = \mathbf{apply}(fct\llbracket M \rrbracket, (fct\llbracket N \rrbracket, fct\llbracket M \rrbracket)) \quad (\text{v2})$$

$$(\text{v3})$$

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apply (X, Y)	unwrap abstraction \overline{X} and give \overline{Y} to it

$$fct[M N] = \mathbf{apply}(fct[M], fct[N]) \quad (\text{v1})$$

$$fct[M N] = \mathbf{apply}(fct[M], (fct[N], fct[M])) \quad (\text{v2})$$

$$fct[M N] = \mathbf{give}(fct[M], \mathbf{apply}(\mathbf{given}, (fct[N], \mathbf{given}))) \quad (\text{v3})$$

funcon	informal semantics
given	yield the current given-value
give (X, Y)	evaluate Y with given-value \overline{X}
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$$fct\llbracket \lambda x.M \rrbracket = \text{closure}(\text{abs}(\quad \quad \quad fct\llbracket M \rrbracket \quad))$$

funcon	informal semantics
closure (abs (X))	yields abs (close (scope (Γ, X))) where Γ is the current environment
close (X)	evaluate X under the empty environment

$$fct\llbracket \lambda x.M \rrbracket =$$
$$\text{closure}(\text{abs}(\text{scope}(\text{bind}(x, \text{fst}(\text{given})),$$
$$, \text{fct}\llbracket M \rrbracket)))$$

funcon	informal semantics
closure (abs (X))	yields abs (close (scope (Γ, X))) where Γ is the current environment
close (X)	evaluate X under the empty environment

$$fct[\lambda x.M] =$$

closure(**abs**(**scope**(**bind**(x , **fst**(**given**))
, **scope**(**bind**("this", **snd**(**given**)), $fct[M]$))))))

funcon	informal semantics
closure (abs (X))	yields abs (close (scope (Γ , X))) where Γ is the current environment
close (X)	evaluate X under the empty environment

$$fct[\mathbf{this}] = \mathbf{bound}(\text{"this"})$$
$$fct[x] = \mathbf{bound}(x) \quad \text{if } x \neq \mathbf{this}$$

funcon	informal semantics
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bound (X)	yields V if the current environment binds id \overline{X} to V
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Section 3

Funcons for HGMP

Research Questions

Can we apply HGMPification to FUNCONS?

- a) HGMPification of FUNCONS
 - i) Meta-reps of funcon terms (ASTs), with \Downarrow_{dl} and \Downarrow_{ul}
 - ii) Introduce a compilation phase for funcon terms
 - iii) Compile-time HGMP: **meta-up**, **meta-down**, **meta-let**
 - iv) Run-time HGMP: **meta-eval**
- b) HGMPification of object language
 - i) Translation for meta-programming constructs
 - ii) Translation for meta-reps (ASTs)

Meta-representations (ASTs)

Let **strings** represent funcon names

and ty a function mapping a value V to its type τ (**types**)

- New variadic funcon **ast** (X_0, X_1, \dots, X_k) with
 - X_0 (evaluates to) a funcon name or a type
 - X_1, \dots, X_k (evaluate to) the meta-reps of arguments
- New value constructor **astv** (T, V_1, \dots, V_k) with
 - If T a type, then $k = 1$ and V_1 some value with $T = ty(V_1)$
 - If T a funcon name, then V_1, \dots, V_k are **asts**

Dynamic semantics of meta-representations

$$\frac{ty(V) = \tau}{\mathbf{ast}(\tau, V) \longrightarrow \mathbf{astv}(\tau, V)}$$

$$\frac{ty(T) = \mathbf{strings} \quad ty(V_1) = \mathbf{asts} \dots ty(V_n) = \mathbf{asts}}{\mathbf{ast}(T, V_1, \dots, V_n) \longrightarrow \mathbf{astv}(T, V_1, \dots, V_n)}$$

$$\frac{X_i \longrightarrow X'_i}{\mathbf{ast}(X_0, \dots, X_i, \dots, X_k) \longrightarrow \mathbf{ast}(X_0, \dots, X'_i, \dots, X_k)}$$

Up meta-level

$$\frac{X_1 \Downarrow_{ul} X'_1 \dots X_n \Downarrow_{ul} X'_n}{\mathbf{funcon}_T(X_1, \dots, X_n) \Downarrow_{ul} \mathbf{ast}(T, X'_1, \dots, X'_n)}$$

$$\overline{V \Downarrow_{ul} \mathbf{astv}(ty(V), V)}$$

Down meta-level

$$\frac{ty(T) = \mathbf{strings} \quad V_1 \Downarrow_{dl} X_1 \dots V_k \Downarrow_{dl} X_k}{\mathbf{astv}(T, V_1, \dots, V_k) \Downarrow_{dl} \mathbf{funcon}_T(X_1, \dots, X_k)}$$

$$\frac{ty(\tau) = \mathbf{types}}{\mathbf{astv}(\tau, V) \Downarrow_{dl} V}$$

Progress

- a) HGMPification of FUNCONS
 - i) Funcon term meta-reps (ASTs), with \Downarrow_{dl} and \Downarrow_{ul}
 - ii) Introduce a compilation phase for funcon terms
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 - i) Funcon term meta-reps (ASTs), with \Downarrow_{dl} and \Downarrow_{ul}
 - ii) Introduce a compilation phase for funcon terms
How to combine with static semantics for FUNCONS?
 - iii) Compile-time HGMP: **meta-up**, **meta-down**, **meta-let**
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Exactly as in Berger et al.
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 - iv) Run-time HGMP: **meta-eval**
Exactly as in Berger et al.
- b) HGMPification of object language
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Translation of HGMP constructs

$$fct[\uparrow\{M\}] = \mathbf{meta-up}(fct[M])$$

$$fct[\downarrow\{M\}] = \mathbf{meta-down}(fct[M])$$

$$fct[\mathbf{let}_{ct} x = M \mathbf{in} N] = \mathbf{meta-let}(x, fct[M], fct[N])$$

$$fct[\mathbf{eval}(M)] = \mathbf{meta-eval}(fct[M])$$

$$fct[\downarrow x] = \mathbf{meta-down}(fct[M])$$

$$fct[\mathbf{lift} M] = \mathbf{give}(fct[M], \mathbf{ast}(\mathbf{type-of}(\mathbf{given}), \mathbf{given}))$$

$$fct[\mathbf{ast}_{app}(M, N)] = ???$$

$$\dots = \dots$$

Recall translation of application:

$$fct\llbracket M N \rrbracket = \mathbf{give}(fct\llbracket M \rrbracket, \mathbf{apply}(\mathbf{given}, (fct\llbracket N \rrbracket, \mathbf{given})))$$

How do we translate the meta-rep of λ -application?

$$fct\llbracket ast_{app}(M, N) \rrbracket = \mathbf{ast}(\mathbf{"give"}, fct\llbracket M \rrbracket, \mathbf{ast}(\mathbf{"apply"}, \dots))$$

We have duplicated the translation of application...

homomorphism property

A funcon-translation Ψ is homomorphic if for each object language operator o we have an f_o such that:

$$\Psi(o(M_1, \dots, M_k)) = f_o(\Psi(M_1), \dots, \Psi(M_k))$$

We can write the translations of application as follows

$$\begin{aligned} fct\llbracket M N \rrbracket &= f_{app}(fct\llbracket M \rrbracket, fct\llbracket N \rrbracket) \\ \text{where } f_{app}(M, N) &= \mathbf{give}(M, \mathbf{apply}(\mathbf{given}, (N, \mathbf{given}))) \end{aligned}$$

and the the translation of the meta-rep of application

$$fct\llbracket ast_{app}(M, N) \rrbracket = \mathbf{meta-up}(f_{app}(\mathbf{meta-down}(fct\llbracket M \rrbracket), \mathbf{meta-down}(fct\llbracket N \rrbracket))))$$

We introduce **ast-app** with the following dynamic semantics

$$\frac{V_1 \Downarrow_{dl} M \quad V_2 \Downarrow_{dl} N \quad f_{app}(M, N) \Downarrow_{ul} F}{\mathbf{ast-app}(V_1, V_2) \rightarrow F}$$

$$\frac{F_1 \rightarrow F'_1}{\mathbf{ast-app}(F_1, F_2) \rightarrow \mathbf{ast-app}(F'_1, F_2)} \quad \frac{F_2 \rightarrow F'_2}{\mathbf{ast-app}(F_1, F_2) \rightarrow \mathbf{ast-app}(F_1, F'_2)}$$

and translate the meta-rep of application directly into it

$$fct[\mathbf{ast_app}(M, N)] = \mathbf{ast-app}(fct[M], fct[N])$$

- To complete the HGMPification of the λ -calculus:

$$\begin{aligned}fct\llbracket ast_{app}(M, N) \rrbracket &= \mathbf{ast-app}(fct\llbracket M \rrbracket, fct\llbracket N \rrbracket) \\fct\llbracket ast_{lam}(X, M) \rrbracket &= \mathbf{ast-lam}(fct\llbracket X \rrbracket, fct\llbracket M \rrbracket) \\&\dots = \dots\end{aligned}$$

- $ast_{app}(M, N)$ is concrete syntax determined by language design

$$\begin{aligned}fct\llbracket \mathbf{App} M N \rrbracket &= \mathbf{ast-app}(fct\llbracket M \rrbracket, fct\llbracket N \rrbracket) \\fct\llbracket \mathbf{Lambda} X M \rrbracket &= \mathbf{ast-lam}(fct\llbracket X \rrbracket, fct\llbracket M \rrbracket) \\&\dots = \dots\end{aligned}$$

Conclusions

- Adding HGMP facilities to FUNCONS is relatively straightforward
- Adding object language ASTs risk duplication, but for homomorphic translations the process can be automated
- Potential benefits:
 - Languages with HGMP now in the scope of FUNCONS
 - Languages with effects in the scope of HGMP formalisation

Future work

- More funcons: concurrency, unification (logic programming)
- Static semantics of funcons
- Further case studies, including languages with HGMP

Does this work simplify giving a (component-based) semantics for languages with meta-programming facilities?

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